

Client information

This is not an application form

This 'client information' form is intended to help identify and collate the necessary client details needed when converting an illustration into an online submission for the Prudential Retirement Account.

Any data you collect on this client information form is your sole responsibility. You shall ensure that you obtain all necessary rights and permissions to disclose any of the data in this client information form to us in the Retirement Account online application.

There is no requirement to complete, or return this form to Prudential.

Personal details

| | |
|---|--|
| Name | |
| Address | |
| | |
| | |
| Postcode | |
| Telephone/Mobile | |
| Email address | |
| Date of Birth | |
| National Insurance number | |
| Marital Status | |
| Employment status | |
| Nationality | |
| Country of residence | |
| Bank details (including roll number where applicable) | |

Transfer details

| | | |
|----------------------------------|---|--|
| Ceding Provider | | |
| Ceding Provider's Address | | |
| | | |
| | | |
| Postcode | | |
| Ceding Provider telephone number | | |
| Policy Number | | |
| Plan Value | £ | Defined Benefit transfer: Yes <input type="checkbox"/> No <input type="checkbox"/> |
| | | Full or partial transfer: Yes <input type="checkbox"/> No <input type="checkbox"/> |

If there is more than one ceding provider, further details can be captured in the section headed additional information.

Contributions

| | |
|--|--|
| Employer Bank Details (including roll number where applicable) | |
|--|--|

Beneficiary details (optional)

| | |
|---------------------------|--|
| Name | |
| Address | |
| | |
| | |
| Postcode | |
| Date of Birth | |
| National Insurance number | |

If there are more than one beneficiary, details can be captured in the section headed additional information.

BCE's

| | |
|-------------------------------|--|
| Are there are any BCE's? | Yes <input type="checkbox"/> No <input type="checkbox"/> |
| If so, amount of BCE | |
| The tax year it was completed | |

Transfer from deceased members (if required)

| | |
|--|--|
| Address (of deceased) | |
| | |
| | |
| Postcode | |
| Relationship to the deceased (Dependant Nominee or Successor) | |
| Application drawdown income – tax free or taxable | |

If there are more than one beneficiary, details can be captured in the section headed additional information.

Additional Information

(including any information to be changed on the original illustration)

| |
|--|
| |
|--|

Adviser Checklist

The final stage of the online submission process will ask you to confirm that you have explained the key features of the Retirement Account to the client, and that you have carried out Money Laundering checks in accordance with regulations.

To complete the application, we need:

please tick

| | | |
|--------------------------|--------------------------------|--|
| <input type="checkbox"/> | Signed client declaration form | For top-ups only. Not required for new business applications. Send by secure email. |
| <input type="checkbox"/> | Signed Direct Debit Mandate | Applications with regular contributions – including employer contributions. |
| <input type="checkbox"/> | *Cheque or bank transfer | Applications with single contributions – please use the references on the Payment Cover Sheet. Please make sure the Payment Cover Sheet is attached when sending a cheque. |

For applications including any of the following, please get in touch with the Pru Choice Customer Services team on 0800 640 9200 to discuss any additional requirements:

- Pension protection
 - Pension sharing orders
 - Applications where the member is under the age of 16
 - Bankruptcy
- * Any payments made via cheque should be made payable to Prudential Assurance Co Ltd.

Acting on someone's behalf?

When giving us information about another person, you confirm that they have appointed you to act on their behalf. This includes providing consent to:

- the processing of their personal and sensitive data
- receive any data protection notices on their behalf
- receive marketing information as indicated.

Marketing Preference

Please confirm how you wish to receive marketing materials

- Phone (home/work)
- Mobile/SMS
- Mail
- Email

In some circumstances if your client has other policies with Prudential they may be contacted directly with 'servicing' messages regarding those products.

