

# Application form

## How to fill out this form

Please use black ink and write in CAPITAL LETTERS or tick  as appropriate.

Any corrections must be initialled. Please do not use correction fluid as this will invalidate your application.

## About this form

If you have any questions about this form, you can call us on **0345 640 2000** between 8am and 6pm Monday to Friday. Calls may be monitored or recorded for quality and security purposes.

Please return all 8 pages of this form to:

**Prudential, Lancing BN15 8GB**

This form should only be used for applications for additional investments to:

Prudence Bond and Prudence Distribution Bond (where original investments were not made through Flexible Investment Plan), Prudence Managed Investment Bond, Prudence Managed Bond.

**Adviser note – Please insert the reference number from the appropriate illustration.**

Reference number

Name of registered individual

Please complete one form for each bond (up to 20 separate Policies) to which an additional investment is to be made.

## Part 1 – Details of investment

I/We wish to make an additional investment of  £

(See note below for minimum premium) to be divided equally between

All the identical Policies, numbers commencing  in my bond.

If you are making a payment by cheque and it is not drawn on your personal account, we may ask you to prove the money belongs to you.

Note: For Prudence Bond and Prudence Managed Investment Bond policies effected on or after 01.10.2002 the minimum additional investment is £5,000.

For Prudence Distribution Bond policies effected on or after 01.10.2002 the minimum additional investment is £1,000.

For Prudence Bond, Prudence Managed Investment Bond, Prudence Managed Bond and Prudence Distribution Bond policies effected on or before 30.09.2002 the minimum additional investment is £1,000.

For Prudence Bond policy numbers specifically prefixed with LH or LD the minimum additional investment is £500.

### Prudence Bond/Prudence Managed Investment Bond/Prudence Managed Bond only

The Prudential Individual Life Funds are listed in our “Prudence Bond & Managed Investment Bond Fund Guide” (INVS10900). Please note that the Distribution Fund is only available through Prudence Distribution Bond.

The minimum investment in each Fund is £500. You may choose a total of up to 10 funds including your existing fund choices, throughout the duration of the policies.

Have you taken any withdrawals (excluding regular withdrawals of 5% a year or less) from any Prudential bond or plan within the last 12 months?

Yes  No

If Yes, please enter policy number(s)

For Prudence Bond policy numbers specifically prefixed LH or LD (e.g. LH123456 or LD123456) only the Life With-Profits Fund ("Optimum Return" With-Profits Fund) launched 29 April 1991 is available for additional investments.

### A) With-Profits funds

Fund Name	Percentage of total investment
1. Optimum Return (With-Profits)	%
2. Optimum Bonus (With-Profits Series 3)	%

### B) Other unit-linked funds

Not available for Distribution Bond investments.

You can invest in any of our unit-linked funds, available for your bond.

Fund Names:	Percentage of total investment
1.	%
2.	%
3.	%
4.	%
5.	%
6.	%
7.	%
8.	%
9.	%
10.	%
<b>Total</b>	<b>100%</b>

or

#### Prudence Distribution Bond

Please note that the Distribution Fund is the only fund that may be accessed through the Prudence Distribution Bond.

Fund name



Tick box

## Part 2 – Withdrawals

### Regular withdrawals

Only complete this section if you wish to either commence withdrawals or amend your existing withdrawal instruction.

**Part 2 a)** (Please complete only one part of 2a).

#### Commence withdrawals

I/We wish to start taking regular withdrawals

Please tick

Please complete Part 2b and Part 2c.

#### Amend withdrawals

I/We are taking regular withdrawals and wish to amend the details

Please complete Part 2b (and 2c if bank details are also to be amended).

#### Please note:

**If you are currently taking regular withdrawals and there are no revised instructions shown below your regular withdrawals will continue, and**

- 1) If the withdrawals are for variable amounts e.g. a fixed % of fund value or natural income, then the amount will be increased in the future, in line with the new additional investment **or**
- 2) If the withdrawals are for a fixed monetary amount or fixed % of the initial or total investment excluding this additional investment, the amount paid will remain unaltered. If payments are to be increased in line with the new investment, please complete the commencing or amending regular withdrawals section below

### Part 2 b)

To be completed by those commencing or amending regular withdrawals.

Payments will be made by direct credit to the bank or building society account detailed overleaf.

I/We require that units from my/our bond are cancelled as follows:

£

each year

or

Percentage of total investment to be withdrawn each year

%

or

Percentage of unit value to be withdrawn each year  
(Not applicable to a Distribution Bond)

%

or

The Full Distribution (Distribution Bond only)

(please tick)

or

The Regular (Reversionary) Bonus as "Income" Option (With-Profits only)

(please tick)

If your investment is in both With-Profits Funds, the Regular Bonus as "Income" Option will be taken from both Funds unless you specify otherwise in the box on next page.

Date units are to be first cancelled\*\*

D	D	M	M	Y	Y	Y	Y
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\* For a Distribution Bond when "Full Distribution" is requested, no date needs to be entered as the first payment will be made after the distribution that follows receipt of this request, on the same day of the month as the bond commenced, and at the frequency requested below.

\*\* The earliest payment under the Regular Bonus as "Income" Option (With-Profits only) will be based on the frequency selected. (e.g. if quarterly payments are requested, the first payment will be three months after acceptance of this request)

For policies where Annual Growth Reward\* is available please refer to your Key Features prior to completion of the withdrawal instructions commencing a withdrawal.

\* See Note 6

Minimum payment £50 (except under the Regular Bonus as "Income" Option) See Notes 2, 3 & 4.

Units to the value of

## Part 2 – Withdrawals – continued

Payments will usually reach your account within five working days of units being cancelled.

Withdrawals should be paid at the following frequency (Please tick one choice)

Every  month

Every 3  months

Every 4  months

Every 5  months

Every 6  months

Every  year†

(† = Not applicable to Prudence Distribution Bond)

Regular withdrawal amounts will be deducted from all your chosen Funds unless otherwise specified. If you wish the amount to be taken from selected funds please specify the name of the fund(s) in the box below.

Fund name

### Part 2 c)

Name of bank or building society

Address

Postcode

Sort code  -  -

Account number

Roll number (if applicable)

Account name

### Details of the applicant

In the revised Joint Money Laundering Steering Group guidance, this product has been categorised as “increased risk”. We are therefore required to gather additional information (enhanced due diligence) on our customer(s). Please answer all the questions below. Where there are joint applicants, please give details of both.

#### Applicant 1

Occupation/nature of business (trustees should simply enter trustee)

Annual income (including pension – if applicable)/Net annual turnover (not applicable to existing trustees)

#### Applicant 2

Occupation/nature of business (trustees should simply enter trustee)

Annual income (including pension – if applicable)/Net annual turnover (not applicable to existing trustees)

Source of funds for this investment

(e.g. a UK bank account)

Source of wealth

(Origin of funds for this investment e.g. saved from salary, inheritance, property sale, divorce settlement)

Please make cheques payable to: The Prudential Assurance Company Limited.

### Part 3 – Declaration

- A. I/we enclose a cheque for my/our additional investment made payable to The Prudential Assurance Company Limited and request that the appropriate number of units be allocated in the fund(s) indicated. It is understood that a copy of this completed application and the terms and conditions are available from the Prudential on request.
- B. I/we declare that the information given in this application is true and complete to the best of my/our knowledge and belief.
- C. Please read the key features document as this will provide you with important information regarding the key risks and benefits of the product(s) to help you make a decision. Please also read the guide to fund options, which is available from your Financial Adviser, as this will provide you with full details of the funds available, their objectives, Prudential's Risk rating of these funds and charges to help you select the funds suitable for your needs.

#### How we use your personal information

For a copy of our latest Data Protection Notice, please visit [pru.co.uk/mydata](http://pru.co.uk/mydata). This details how and why we use your personal information (including any sensitive personal information), who we may share it with and your rights around your personal information. Alternatively, you can request a hard copy to be sent to you by writing to The Data Protection Officer, Customer Service Centre, Lancing BN15 8GB.

Please note that we collect personal information from you that is necessary for us to either provide you with the product or service you've requested or to comply with statutory or contractual requirements. Unfortunately if you don't provide all of the information we require this may mean we are unable to provide our products and services to you.

If a policy is subject to trust, all trustees must sign.

Signature of owner 1

Date signed

D	D	M	M	Y	Y	Y	Y
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Print full name

Where the plan is owned by a UK registered company, either two directors or a director and company secretary or company director and witness must sign, stating their position (occupation) and names in full.

Signature of owner 2

Date signed

D	D	M	M	Y	Y	Y	Y
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Print full name

Signature of owner 3

Date signed

D	D	M	M	Y	Y	Y	Y
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Print full name

Signature of owner 4

Date signed

D	D	M	M	Y	Y	Y	Y
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Print full name

## Notes

1. Some Prudence Bond, Prudence Managed Investment Bond, Prudence Managed Bond and Prudence Distribution Bond contracts issued since January 1995 have restrictions on the amount that may be taken by regular withdrawals. You should refer to the Key Features provided at the time of original investment, alternatively contact our customer service centre on 0345 640 1000 for details.
2. Withdrawals are taken by the cancellation of units to the value required, except for the Distribution Bond when units will always be taken first from the Distribution Cash Fund. If units are held in more than one fund, units will be sold from each fund in proportion to the bid value of each fund at the date of unit cancellation. In certain circumstances such as encashments from a With-Profits fund, the company may impose a Market Value Reduction to reflect the effect of market conditions on the underlying investments in the fund. If you are unsure how this may affect your regular withdrawals please contact your Financial Adviser. For further information on Market Value Reduction please refer to your Key Features Document.  
  
When additional investments have been made the requested regular withdrawals will be provided in proportion from the units relating to the original investment and each additional investment.
3. Withdrawals in excess of 5% of the investment in any policy year may create a Chargeable Event for tax purposes and produce a liability to higher rate income tax and/or affect any entitlement that you may otherwise have had to personal allowances and/or certain tax credits. Please contact your financial adviser for details.
4. For Prudence Bond that commenced before 2 April 2001, your investment into the "Optimum Bonus" Life With-Profits Fund (launched on 2 April 2001) will be made on the same terms as expressed in your Policy Provisions for the Life With-Profits Fund ("Optimum Return" Life With-Profits Fund) that was launched on 29 April 1991, as described in and referred to in those Policy Provisions and these terms will apply throughout the duration of your contract.
5. Policies effected between 2 January 2002 and 19 May 2002 may attract Annual Growth Reward if invested in the With-Profits funds provided they comply with certain conditions as detailed in the relevant Key Features.
6. Policies effected after 19 May 2002 may attract Annual Growth Reward on With-Profits Funds or, Unit-Linked Funds provided they comply with certain conditions as detailed in the relevant Key Features.
7. Full terms and conditions of Prudential's Bonds are available from our administration office, at Prudential, Lancing, BN15 8GB.

## Confirmation of verification of identity – private individual

Introduction by an FCA-regulated firm

### 1. Details of individual

Full name of customer

Current address

  
  

Postcode

Previous address if individual has changed address in the last three months

  

Postcode

Date of Birth

D	D	M	M	Y	Y	Y	Y
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### 2. Confirmation

I/we confirm that

- a) the information in section 1 above was obtained by me/us in relation to customer;
- b) the evidence I/we have obtained to verify the identity of customer:

meets the standard evidence set out within the guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group

or

exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Name

Position

### 3. Details of introducing firm (or sole trader)

Full name of regulated firm (or sole trader):

Firm Reference Number (FRN):

#### Explanatory notes

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a 3rd party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
  - those who are exempt from verification as being an existing customer of the introducing firm prior to the introduction of the requirement for such verification;
  - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
  - those whose identity has been verified using the source of funds as evidence.
3. This confirmation must carry an original signature, or an electronic equivalent.

Please ensure you complete the Adviser Checklist on page 8.

See explanatory notes below.

(tick one only)

## Adviser checklist – to be completed by financial adviser

Financial adviser note – To help us process the application accurately, please tick the relevant box and complete all the details below:

Have you attached a copy of the relevant illustration?

Yes  No

If this is not available, insert illustration reference number here and please ensure you complete the details below

Name of registered individual

Prudential agent number (e.g. 012345)

Was advice given?

Yes  No

(We cannot process this application unless this question has been answered).

Any further instructions please specify here

To avoid delays in processing this application, please check you have:

- Completed the verification of identity form (Page 7)
- Completed the details in full above; and
- Confirmed whether a personal recommendation was given