

# Stakeholder Pension Application form

**How to fill out this form**

Please use black ink and CAPITAL LETTERS or tick  as appropriate.

Any corrections must be initialled. Please do not use correction fluid as this will invalidate your application.

**Some important information before you start**

YC1

Thank you for choosing a Prudential Premier Stakeholder Pension.

This form can be used to apply for, or increase contributions to, a Stakeholder Pension. Please make sure you have the correct form.

- HM Revenue and Customs require some of the information enclosed in this form. Please ensure the details you provide are correct and completed fully.
- Copies of the terms and conditions and completed application form are available on request.
- Please read the **Key Features Document** as this will provide you with important information regarding the key risks and benefits of the product to help you make a decision.
- Please also read your **Fund Guide**, available at [pru.co.uk/funds/guides](http://pru.co.uk/funds/guides), as this will provide you with full details of the funds available, their objectives, Prudential's risk rating of these funds and the charges and costs, to help you select the funds suitable for your needs.

**Questions about this form?**

**Advisers**

- in the first instance, get in touch with your usual Prudential contact
- or please call our Adviser Centre on 0808 234 0808

Calls may be monitored or recorded for quality and security purposes.

**Policyholders**

- please speak to your Financial Adviser

Our web addresses are [pruadviser.co.uk](http://pruadviser.co.uk) for financial advisers and [pru.co.uk](http://pru.co.uk) for policyholders.

**1. Your details – all applicants**

Title Mr  Mrs  Miss  Ms  Other

Surname

Full forenames

Date of birth

Sex Male  Female

Permanent residential address

Postcode

National Insurance No.

Daytime telephone number

Selected Retirement Age

Include postcode.

Include area code.

If you are increasing contributions to a Premier Pension Plan, this must be the same age as your existing plan.

## 1. Your details – all applicants – continued

YC2

HM Revenue & Customs normally only allow pension ages earlier than 55 where the member has a protected pension age. Under the terms of this contract you are currently required to take your benefits by age 75.

Are you a Prudential policyholder?

Yes

No

Yearly earnings

£

Your earnings figure will allow us to give you more relevant quotations. We will compare estimated eventual benefits with your estimated retirement earnings. If you don't give an earnings figure, we will use the current Average Weekly Earnings figure projected to your retirement date for the comparison.

Have you previously accessed benefits flexibly?\*

Yes

No

If Yes, please specify the date these were accessed

D	D	M	M	Y	Y	Y	Y
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## 2. Eligibility details – all applicants

Please tick one box below which best describes your current “employment” status (or the status of the person for whom this application is being made).

Employed – chargeable to tax under Schedule E (P.A.Y.E.)

Pensioner – chargeable to tax under Schedule E (P.A.Y.E.)

Self-employed – chargeable to tax under Schedule D in respect of self-employment in a trade, profession or vocation or in a partnership.

Caring for one or more children under age 16.

Full-time education (over age 16).

Unemployed

Other

Are you a UK resident for tax purposes?

Yes

No

If No, are you a Crown Servant or married to or a civil partner of a Crown Servant?

Yes

No

If the answer is No to both the last two questions you may not be eligible to contribute and get tax relief. You should check this with your financial adviser.

\* There are a number of possible ways you may trigger the Money Purchase Annual Allowance (MPAA) when accessing your benefits flexibly. Your pension scheme or provider will have informed you if this is the case. For further information please speak to your financial adviser.

Please tick only one option.



Please show the amounts before any tax relief.

The contributions shown are for retirement funding only (additional life cover is not available). Any Waiver Benefit is extra and HM Revenue & Customs rules do not allow tax relief on the Waiver Benefit cost.

All regular contributions must be paid by Direct Debit. If you are increasing contributions and your existing contributions are not paid by Direct Debit, please complete the Direct Debit instruction at the back of this form. All existing contributions will also be collected under the Direct Debit (so you should also make sure you cancel any current Standing Order).

If you do not specify a month, indexation, if chosen, will apply on the yearly anniversary of your plan.

Please show amounts before any tax relief.

This plan must be a Prudential (SAL) stakeholder pension.

**Regular contributions**

For increases, show only the **new** contribution (not the total)

Payable by: Employer  Member

When do you want to start regular contributions?

The start date should be between the 1st and the 28th of any month.

How often are regular contributions to be paid? Monthly  or Yearly

This must match the frequency of your existing plan if you are increasing contributions.

- Monthly contributions can be increased on the monthly anniversary.
- If you already have a Premier plan where yearly contributions apply, these contributions can be increased only on the yearly anniversary of that plan.

**Automatic increases**

If you are a new member (and want automatic increases) you should complete the rest of this section. If you already have a Premier Stakeholder Pension the same increase rate (even if there are no automatic increases) will apply for your new contributions, so you should ignore the rest of this “Automatic Increases” section. If you already have an existing plan with automatic increases, the increase month will be the same.

Do you want contributions to increase automatically each year? Yes  No

If Yes, by what rate?  % p.a. or Average weekly earnings (tick)

Whole number:  
Minimum 5%/Maximum 15%

Automatic increases will not operate in the first six months after the start of contributions in respect of this application.

In which month should automatic increases begin?

**Single contributions**

Payable by: You  Your employer

**Increasing contributions**

Are you increasing contributions? Yes  No

If **Yes**, what is your existing plan number?

If you give a plan number which does not match the basis for your new contributions, we will add your new contributions to your most recent plan. This will give you flexibility when you want to take your benefits.

\* The default investment strategy for Stakeholder is the Lifetime Investment Profile targeting **retirement options**, investing in the Prudential Managed Fund initially.

If you do not complete this section or if you answer No to this question, we will invest your contributions in the default investment strategy\*.

Please refer to the fund guide for more information on the funds, the associated risks and the charges and costs.

Do you want to choose an investment strategy?

Yes  No

If **No**, you should ignore the rest of this section and go to Part 6.

If **Yes**, please read the notes below before completing the rest of this section.

- If you want a Lifetime Investment Profile (see below), you can also choose the investment linked fund(s) to be used initially by completing the table below, or you can leave the selection to us. There is a set basis, we don't make an individual choice. Your fund guide shows what this will be.
- If you do NOT want a Lifetime Investment Profile, please complete the table below to confirm the investment fund(s) to be used.

If you are using this application to apply for an increase in regular contributions, your new contributions will, if allowed by Premier plan terms, be invested in the same fund(s) as currently apply to the plan you chose in Part 5, (or your most recent plan as explained in Part 5).

Chosen Funds	% to be invested in each fund	
	Regular contributions	Single contributions
	%	%
	%	%
	%	%
	%	%
	%	%
	%	%
<b>Total</b>	<b>100%</b>	<b>100%</b>

Please make sure your total equals 100%.

Please tick only one box.

**Lifetime Investment Profiles**

- Lifetime Investment Profile targeting **retirement options**
- Lifetime Investment Profile targeting **an annuity**
- Lifetime Investment Profile targeting **100% cash**
- Lifetime Investment Profile targeting **drawdown**

If you have chosen one of the Lifetime Investment Profiles without choosing any investment linked fund(s), we will assume that you are happy to start with the funds we choose for you. There is a set basis, we don't make an individual choice. Your fund guide shows what this will be.

Please now go to Part 6.

The discretionary distribution of death benefits means that Inheritance Tax will not normally apply.

If you want to choose additional beneficiaries, please write the details on a separate sheet of paper, and attach it to this form.

Please make sure that the total for all your chosen beneficiaries is 100%.

Any Waiver Benefit cost does not qualify for pension income tax relief at source (RAS) and is payable in addition to pension plan contributions. Waiver Benefit cannot be added after the plan has started.

The deferred period and waiver cessation date for additional contributions, will be the same as for your previous contributions.

**Failure to complete this part may delay payment of death benefits.**

Please show details of the person you would want to get death benefits from your plan.

Prudential has discretion on the beneficiaries for death benefits. In exercising this discretion, Prudential is not legally obliged to follow your wishes, but will take them into account.

Title Mr  Mrs  Miss  Ms  Dr  Other

Surname

Full forenames

Address

<input type="text"/>
<input type="text"/>
Postcode

Relationship to you (if any)

Proportion of benefits

%

(If not 100%, details of any additional beneficiaries should be attached to this application.)

## Part 7 – Waiver Benefit

**If you are joining the Prudential (SAL) Stakeholder Scheme for the first time on or after 17 January 2005, you cannot apply for Waiver Benefit.**

**If you are not applying for Waiver Benefit please go straight to Part 11.**

**Regular contributions:**

Do you want to apply for Waiver Benefit on the contribution shown in this application?

Yes

No

Your additional contributions will have the same Waiver Benefit as your existing plan. Provided you are aged under 60 and have at least 5 years between the date of acceptance and your waiver cessation date, you can apply for Waiver Benefit on your contribution increase.

**Please now go to Part 8.**

If you want to change these details later, you should write to Prudential, quoting your plan number and the revised information.

**Please refer to the Key Features Document for further information on Waiver Benefit.**

- Please take reasonable care to answer all the questions in Part 8, 9 & 10 honestly and to the best of your knowledge. If you don't a claim may be rejected or not fully paid or your policy may be cancelled. Please answer all questions as failure to do so will mean that your application may be delayed as we will have to contact you for the missing answers. Please do not assume that we will contact or obtain a report from your doctor.
  - If someone else fills in this form for you (for example your financial adviser), please check that all the details are correct before you sign the declaration. You are responsible for all the answers you or your financial adviser provide on this application.
  - It is very important that you tell us if there are any changes to the information you have given, between completion of this form and your plan starting.
- Genetic testing**
- If this application, taken together with any other insurance policies you already have, is for life insurance up to a sum of £500,000 you need not disclose any genetic test you may have had.
  - You need not disclose the result of any genetic test undertaken in the context of research.
  - Genetic test results need only be disclosed where the sum exceeds £500,000 for life insurance and their use by insurers has been independently approved.
  - You may, of course, disclose any genetic test result which is in your favour.
  - If you either have a family history of, are receiving treatment or experiencing symptoms of a genetic condition, you must tell us.
  - If you wish to disclose to us a negative genetic test result, which shows that you have not inherited a genetic disorder, we will take this into account in setting your premium, providing your clinical geneticist confirms that the test result indicates a reduced risk of developing the inherited disease.
  - Further information is available on request which fully explains this policy and details those genetic tests approved for use by insurers.

**8. About you – complete if you are applying for Waiver Benefit**

Your current occupation

Please describe your duties fully. Include the industry you work in and provide a percentage split between manual and non manual duties. If you work at heights, please give details of the maximum height at which you work.

Do you, or do you intend to, participate in any sport or pastime that involves any additional risk of accident such as, but not limited to motor/motor cycle sports, mountaineering, underwater activities, private flying or hang gliding?

Yes  No

If yes, please give full details including number of events or hours you undertake per annum.

Failure to answer the questions honestly and with reasonable care may result in your claim being rejected or not paid in full.

Please do not assume that we will contact or obtain a report from your doctor.

Failure to answer the questions honestly and with reasonable care may result in your claim being rejected or not paid in full.

Please do not assume that we will contact or obtain a report from your doctor.

For this purpose, "contribution" is the yearly equivalent of current contributions to the Prudential (SAL) Personal Pension and Stakeholder Schemes on your behalf (regular and single contributions) already qualifying for Waiver Benefit under the Simplified Acceptance Scheme, plus the contributions applied for in this form.

The Simplified Acceptance Scheme is designed to minimise the information needed to qualify for Waiver Benefit for those who meet certain criteria.

**Please complete this section only if you applied for Waiver Benefit in Part 7 and:**

- you are aged under 50 and
- the total contribution will be £3,600 or less.
- Please refer to the Key Features document for further information.

**If you are 50 or over, or your contribution will be over £3,600, please go to Part 10.**

1. Have you attended, or been advised to attend, any hospital or clinic for any form of advice, operation, treatment or tests within the last 12 months, OR are you subject to regular medical review or receiving any form of medical treatment or attention? Yes  No
2. During the last three years, have you suffered from any anxiety, depression or any psychiatric disorder or any disease/disorder of the back or joints OR, have you ever suffered from any illness or injury which prevented you from working for a period of two weeks or more? Yes  No

If you answered **No to both questions**, and are under 50 with contributions of £3,600 or less, please go to Part 11.

If you answered **Yes to either question**, please go to Part 10.



Failure to answer the questions honestly and with reasonable care may result in your claim being rejected or not paid in full.

Please do not assume that we will contact or obtain a report from your doctor.

Please complete this section only if you have applied for Waiver Benefit and the earlier notes tell you that you should also complete this section.

1. What is your height?  What is your weight?

2. Have you attended or been advised to attend any doctor, osteopath, chiropractor, acupuncturist, physiotherapist, consultant, hospital or clinic for any form of advice, operation, treatment or tests within 5 years or are you subject to regular medical review or receiving any medical treatment or attention? (cold, influenza, minor injury and routine pregnancy consultations may be excluded). Yes  No

If yes, please provide details in the box below.

3. Have you ever suffered from back or neck discomfort or joint problems or have you ever had any illness or injury requiring more than two weeks off work? Yes  No

If you have answered yes to Question 2 or 3 then please give us full details of your condition here. Please tell us the name of the condition, the frequency and type of symptoms you have, or have had. Tell us what treatment you've received and what investigations you've had and any time off work as a result of your condition. Please continue on a separate sheet if necessary.

4. Have you ever tested positive for HIV/AIDS, Hepatitis B or C, or are you awaiting the results of such a test? **Note:** if the result is negative, the fact of having an HIV test will not, in itself, have any effect on your acceptance terms for insurance.

Yes

No

If you answered Yes, please give full details, including the name of the condition and date test was carried out.

5. Please tell us the name and address of your doctor.

Doctor's name

Doctor's address

Postcode

Doctor's telephone number (including STD code)

How long has he/she been your doctor?

years

As HM Revenue & Customs grant tax relief at source on the strength of this application, you should be aware that it is a serious offence to make false statements; the penalties are severe and could lead to prosecution.

Please read this section carefully before you sign and date this form.

1. **New members only:** I apply for membership of the Prudential (SAL) Stakeholder Scheme. I agree to be bound by its rules. Please treat this form as several applications, each in respect of an arrangement under the scheme.  
**All members:** I agree that the Scheme Administrator should agree on the number of arrangements.
2. I declare that:
  - I have taken reasonable care to answer the questions honestly and to the best of my knowledge. I understand that a claim may not be paid in full or may be rejected or my policy may be cancelled if I have not. I agree that the terms of this application, any other written statement by me or on my behalf to Prudential, together with Prudential's Acceptance, shall form part of any relevant contracts;
  - my total contributions to all UK registered pension schemes (schemes that attract tax relief) will not exceed the higher of:
    - i) my Relevant Earnings (broadly UK taxable earnings directly from a trade, or profession or employment) and
    - ii) £3,600 (including tax relief), if my Relevant Earnings do not exceed £3,600;
  - if I cease to be a UK resident, for tax purposes or cease to be eligible for tax relief on any contributions that I am paying (because my earnings have reduced), I will write to Prudential to confirm this before the latter of:
    - i) 30 days after the change, and
    - ii) the 5 April at the end of the tax year when my circumstances change.
3. I confirm that if I am self employed any payments to the pension plan from the business/partnership account will be reimbursed as applicable.
4. I understand that if my employer is contributing to the plan:
  - my employer intends to continue to pay the employer contributions (if any) but may stop them at any time and, if this happens, I will be told;
  - my regular contributions, if any (net of tax at the basic rate) will be deducted from my earnings after all other deductions and will be sent to Prudential along with my employer's contributions (if any) and I authorise such deductions from my earnings.
5. I understand that I can alter the terms of my plan by giving revised instructions to Prudential, in writing, and that these revisions can be either directly from me, from my employer, or from the financial adviser who arranged my plan (or from any other adviser I appoint in writing and accepted by Prudential) provided that such revisions are allowed in terms of the Rules and Plan Conditions.
6. I consent to:
  - (where my employer is arranging for contribution payments to my plan) Prudential giving my employer information about my plan;
  - Prudential getting more information, and I consent to the giving of such information, as may be necessary for the installation and administration of my plan.

#### Important notes

The plan will not start until we have assessed and accepted your application, and the first premium has been paid. If you have a birthday while your application is being processed, the terms may differ from those originally quoted.

In most instances your payments will be as originally quoted. We may offer you revised terms, but occasionally we may not be able to offer any terms.

You are entitled to ask for a copy of our standard terms and conditions and a copy of your application form at any time.

I consent to Prudential providing the financial adviser detailed in this Application Form, information on all my Prudential Individual Pension Plans [including group arrangements]. This authority is valid until it is cancelled, in writing, by me, or by submission of future applications.

**How we use your personal information**

We, Prudential UK (part of M&G plc), may receive your personal information from the trustees of a pension scheme, your employer, or other financial services organisations (known as a Data Provider) and/or direct from you. Regardless of where we obtain such information from, we take the privacy and protection of your personal information seriously. We own the personal information we hold about you and decide what happens to it. This makes us a Data Controller in respect of the personal information. You should note that the Data Provider will also be a data controller in respect of the personal information they hold about you.

We've set out below information about our processing of your personal information, what rights you have, and how you can get in touch if you want to know more.

When we say personal information, we mean information about you which we receive from a Data Provider or any other personal information you provide directly to us. For example, this may include your name, date of birth and contact details. We collect personal information from you that is necessary for us to either provide you with the product or service you've requested or to comply with statutory or contractual requirements. Unfortunately if you don't provide all of the information we require this may mean we are unable to provide our products and services to you.

**Part A – How we use your personal information and why**

We, M&G plc and our Business Partners, will use the personal information for the following purposes:

- the administration of our products and services, including to enable us to perform our obligations under any contracts or policies to you and to provide any relevant services as discussed with you prior to any purchase of a product or service
- complying with any regulatory or other legal requirements
- carrying out checks using agencies such as credit reference agencies, tracing companies, or publicly available information (See Part B for more)
- the provision of customer services – like to reply to a question, or tell you that something's changing
- automated decision-making or profiling (see Part C for more)
- keeping your information on record and carrying out other internal business administration

In addition, we, M&G plc, and our Marketing Partners, will use the personal information you provide to us, together with other information, to send you direct marketing offers by electronic and non-electronic means including by post, as well as sending you introductions to products and services from carefully selected third parties also by post. Please see Part G for further details.

Some of the purposes above are necessary to allow us to perform our contractual obligations to you and to enable us to comply with applicable laws and regulation. We may also rely on legitimate interests or other legal bases in using and sharing your personal information for the purposes described above to improve our products and services. This allows us to explore ways to develop our business and to gain insights into how our products and services are used. To the extent that we need your consent to use your personal information for the purposes described above, you explicitly provide your consent by signing and returning this form, or as set out in Part G as appropriate. To the extent that your personal information is provided to us by a Data Provider and we need your consent to use your personal information for the purposes described above, the Data Provider is responsible for providing the consent to us.

**Who we share your personal information with and why**

We may share your personal information within M&G plc and with our Business Partners, for any of the purposes set out in Part A. If you have a joint policy or investment, the other person may receive your personal information too. If appropriate, we may also pass on your personal information to financial crime prevention agencies, any legal, regulatory or government bodies.

As we, M&G plc, and some of our Business Partners are global companies, we might need to send your personal information to countries that have different data protection laws to the UK or the European Economic Area. These transfers will only be to countries in respect of which the European Commission and, where applicable, the UK Government has issued a data protection 'adequacy' decision, or to other countries, such as India or the United States of America, but only where appropriate safeguards have been put in place first. In more limited circumstances, we may also need to rely on a derogation under applicable privacy laws.

If you want to know more about these safeguards – like our use of the European Commission's or UK's Model Clauses which govern the transfer of information outside of the European Economic Area and UK respectively – further information is available on request.

Any transfer of your personal information will always be done securely.

**We keep your personal information for a set amount of time**

Your personal information will be stored either for as long as you (or your joint policyholder) are our customer, or longer if required by law or as is otherwise necessary. It'll always be in line with our data retention policy.

### Part B – Reference checks

For certain products, we may use approved credit reference agencies, tracing companies, financial crime prevention agencies, or publicly available information, to help us to check your identity, as well as to prevent fraud and money laundering; this may include checks on your current or previous addresses. Results of these may be recorded for future reference.

These checks may also be carried out for a joint policy holder or person(s) that you provide personal information on. Should we ever lose contact with you, we may use these agencies to verify your address to help us get back in touch.

### Part C – We may use your personal information to make automated decisions or profile you

We, M&G plc, our Business Partners, and our Marketing Partners may use your personal information to make automated decisions affecting you or to conduct other profiling (for example, marketing profiling).

To the extent that we conduct such automated decision making activity, we'll provide you with further information at the appropriate time.

### Part D – Use of your sensitive personal information

For certain products or services, we'll need to process your sensitive personal information, such as information relating to health, genetics, biometric identifiers and sexual orientation, a Data Provider may from time to time provide this to us. In such circumstances the Data Provider is responsible for obtaining any explicit consent necessary for us to process this kind of personal information. Alternatively, if you provide sensitive personal information to us, to the extent that we need your explicit consent to process this kind of personal information in the manner described in Parts A, B, and C, you explicitly provide your consent by signing and returning this form.

### Part E – You're in control

When it comes to how we use your personal information, you've got the right to:

- request a copy of your personal information for free (we may charge you for this if the request is manifestly unfounded or excessive)
- in certain circumstances request that we move your personal information to another organisation if you want us to
- request that we correct anything that's wrong, or complete any incomplete personal information
- ask us to delete your personal information if it is no longer needed for the purposes set out in Part A or if there is no other legal basis for the processing

- limit how we use your personal information or withdraw your consents (including automated decision making) you have given for the processing of your personal information
- object to us using your personal information for direct marketing (including related profiling) or other processing based on legitimate interests
- complain to a data protection authority or another independent regulator about how we're using it.

If you want to do any of these things, or would like an explanation as regards these rights, we've explained how you can get in touch in the Contact Us section.

If you do need to speak to us, it'll be useful to have to hand that the data controller of your personal information is Prudential UK. Prudential UK have also appointed a Data Protection Officer who can be reached at the address shown in the Contact Us section of this document.

We may monitor or record calls or any other communication we have with you. This might be for training, for security, or to help us check for quality.

As set out at the start of this notice, a Data Provider is also a Data Controller in respect of your personal information and you are likely to have similar rights in respect of the personal information held by a Data Provider.

### Part F – Acting on someone else's behalf?

If you give us personal information about another person (or persons), we'll take that to mean they have appointed and authorised you to act on their behalf. This includes providing consent to:

- our processing of their personal information and sensitive personal information (as we've explained in Parts A, B, C, and D above)
- you getting any information protection notices on their behalf.

If for any reason you are concerned as to whether you are permitted to provide us with the other person's information, please contact us on the phone number below before sending us anything.

### Part G – Direct marketing

We and M&G plc will still send you information by post about the Prudential UK and M&G plc's products and services and carefully selected third parties.

Additionally, from time to time, Prudential UK and M&G plc would like to contact you by electronic means with details about products, services and any special offers. Please note that any consent you give will not apply to M&G Investments Group and Prudential International Assurance plc as they operate their own customer databases and may contact you separately.

## 11. Declaration by investor – continued

YC14

If you consent to us contacting you for this purpose by electronic means, please tick to say how we may contact you (tick as many or as few as you like):

Email  Phone  Text

And if you change your mind, and/or you would like to opt-out of receiving non-electronic direct marketing, it's easy to let us know. Just call us on 0800 000 000.

### Contact us

If you want to exercise your rights in Part E or if you require any other information about any other part of this notice, you can contact us in a number of different ways.

Write to us at: Customer Service Centre  
Prudential  
Lancing  
BN15 8GB

Call us on: 0800 000 000

Or visit: [www.pru.co.uk](http://www.pru.co.uk)

Prudential UK means The Prudential Assurance Company Limited and Prudential Pensions Limited, as appropriate.

M&G plc means any affiliates of Prudential UK (including, Prudential Distribution Limited, Prudential International Assurance plc, PGDS (UK ONE) Limited, Prudential Life Time Mortgages Limited, Prudential Global Services Private Limited, M&G Investments Group, Prudential Financial Planning Limited and Prudential Corporate Pensions Trustee Limited).

Business Partners means our service providers, accountants, auditors, IT service and platform providers, intermediaries, reinsurers, retrocessionaires, investment managers, agents, pension trustees (and other stakeholders), scheme advisors, introducers, selected third party financial and insurance product providers, and our legal advisers.

Marketing Partners means our service providers, intermediaries, pension trustees (and other stakeholders), scheme advisors, introducers and selected third party financial and insurance product providers.

Signature of applicant

Date

D	D	M	M	Y	Y	Y	Y
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## 12. Declaration by the employer

To be completed only if the employer is contributing to the plan or paying contributions on behalf of the investor.

We agree to pay contributions in accordance with this application.

Signed for and on behalf of the employer

Date

D	D	M	M	Y	Y	Y	Y
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# Notes for completing Direct Debiting Instruction below

If your employer is to contribute or pay contributions on your behalf, a Direct Debit Instruction should be completed by your employer and drawn on the employer's account for each employee.

## Instruction to your bank or building society to pay by Direct Debit

Please complete Parts 1 to 4 to instruct your Bank to make payments directly from your account.

1. Name of account holder (BLOCK CAPITALS PLEASE)

2. Bank Sorting Code Bank Account Number



3. Name and address of bank (BLOCK CAPITALS PLEASE)

To: The Manager

Signature(s)

### For Prudential Assurance Company Ltd Official Use Only

This is not part of the instruction to your bank or building society.



Prudential  
Lancing BN15 8GB

Reference

Service User Number

1	7	7	4	6	2
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### Instruction to your bank or building society

Please pay Prudential Assurance Company Ltd Direct Debits from the account detailed in the Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with Prudential Assurance Company Ltd and if so, details will be passed electronically to my bank/building society.

Date

D	D	M	M	Y	Y	Y	Y
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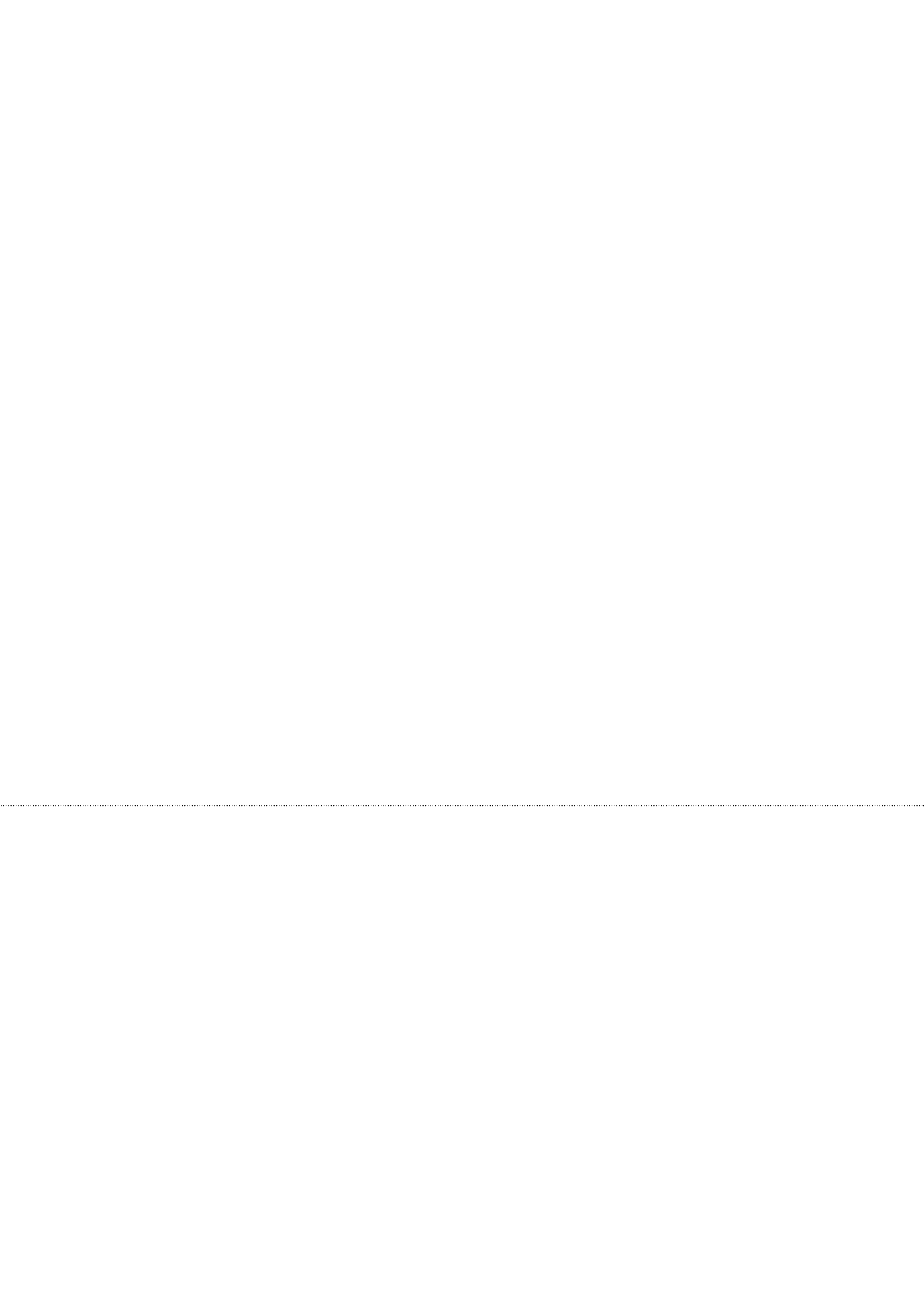
Banks may decline to accept instructions to pay Direct Debits from some types of accounts.

This guarantee should be detached and retained by the payer.

## The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, Prudential Assurance Company Ltd will notify you at least 5 working days in advance of your account being debited or as otherwise agreed. If you request Prudential Assurance Company Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit by Prudential Assurance Company Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when Prudential Assurance Company Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.







**5. Routing instructions**

You should ignore this section if the investor already has a Premier plan – as your previous routing instructions will be followed.

**Note:** the Investor must always get the original certificate even where this is initially sent via someone else.

Certificate of Membership to:

- Investor
- You
- Special destination\*

Copy Certificate to:

- Investor
- You
- Special destination\*

\* Special destination – Name

Address

Postcode

Use of this address must have been authorised by the investor, to maintain client confidentiality.

Signature

Position in firm

Name in block capitals

Date

D	D	M	M	Y	Y	Y	Y
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**For Prudential Use Only**

Cheque Acknowledgement Number

Date Stamp

Cheque Amount  £

SD agreed by

**Advice given**

PFR	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	CCC	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	SCC	<input type="checkbox"/>
EO	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	EC	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	AM	<input style="width: 100%;" type="text"/>
M	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	AC	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	AOI	<input style="width: 100%;" type="text"/>

Empty box for additional notes.

[pru.co.uk](https://pru.co.uk)

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