Pru
part of M&G plc

Authority for financial adviser firm to submit Fund Switch requests

How to fill out this form

Please use black ink and CAPITAL LETTERS or tick ✓ as necessary.

Any corrections must be initialled; do not use correction fluid.

If you've any questions about this application form, call our Retirement Specialist Team on 0800 032 6674 (Monday to Friday, 9am-5pm).

When you've finished the application, please post it to us at:

Prudential
Lancing
BN15 8GB

About this form

This form can be used to authorise a financial adviser firm to submit Fund Switch requests on any of your current Flexible Investment Plans and/or current and future Prudential Investment Plans (where that firm is the one we hold on our records for the plan).

If any plan is jointly owned, or there are trustees, all must give their authority for the adviser to act on their behalf.

This form cannot be used for any other investments you have with Prudential, or for any investments that are handled by another adviser.

Before completing section A please read the important information shown below and in the Fund Switching form (PRUF0534).

Authorised individuals within the adviser firm should complete section B.

Please return this form to: Freepost, Prudential, Lancing BN15 8GB.

This form is available from **pruadviser.co.uk** or directly from us.

Important Information

1. Once a Fund Switch request has been received it cannot be withdrawn unless we agree.
2. The adviser firm can make Fund Switch requests by completing and sending the Fund Switching form (PRUF0534) to our Administration Office, or by submitting the request online
3. Where a Fund Switch request is made online it will be deemed to have been received at the time the request is successfully submitted by the adviser (as shown on the Switch Request Confirmation).
4. All Fund Switch requests will be processed in line with the terms and conditions set out in your Policy Provisions unless stated otherwise on this form.
5. At times we may not accept a request submitted by the adviser and require you to complete a Fund Switching form or other such documentation as we may require. We would normally only do this for important contractual reasons for example where investments are being made into new funds which are operated in a way which is materially different from the funds described in the Policy Provisions previously issued to the planholder.
6. When submitting a switch request, the adviser firm will be required to ensure that you have been informed of all relevant important information in the then current Fund Switching form.
7. Where there is any conflict between the notes set out in this authority and the terms and conditions set out in the Policy Provisions, the Policy Provisions shall be overriding.
8. Prudential (or its agents) will have no liability for any loss or otherwise incurred by you resulting either directly or indirectly from Prudential's acceptance of a Fund Switch request from the adviser firm in section B in accordance with this authority.
9. All owners/trustees of any plan must have given their authority on this form before a Fund Switch request from the adviser will be accepted by Prudential.
10. The authority will be valid until:
    - cancellation, in writing, is received by Prudential from any person noted in section A, or
    - the servicing adviser is changed.

Section A – Personal details and declaration

Personal details

Policy Number(s)

On the Life/Lives of

Policy Owner(s)

How we use your personal information

For a copy of our latest Data Protection Notice, please visit www.pru.co.uk/mydata. This details how and why we use your personal information (including any sensitive personal information), who we may share it with and your rights around your personal information. Alternatively, you can request a copy to be sent to you by writing to The Data Protection Officer, Customer Service Centre, Lancing BN15 8GB.

Please note that we collect personal information from you that is necessary for us to either provide you with the product or service you've requested or to comply with statutory or contractual requirements. Unfortunately if you don't provide all of the information we require this may mean we are unable to provide our products and services to you.

Planholder declaration

1. I authorise the adviser firm noted in Section B of this form to submit Fund Switch requests on my behalf.
2. I have read and understood the Important Information overleaf.
3. I have read and understood the important information on the current Fund Switching form and acknowledge this may change from time to time but at all times requests will be processed in line with the relevant Policy Provisions for the plan(s) that are the subject of the request.
4. I understand that Prudential (or its agents) will have no liability for any loss or otherwise incurred by me resulting either directly or indirectly from Prudential's acceptance of a Fund Switch request from the adviser firm in section B in accordance with this authority.

Name of first owner or trustee

Signature

Date D D M M Y Y Y Y

Name of second owner or trustee

Signature

Date D D M M Y Y Y Y

Name of third owner or trustee

Signature

Date D D M M Y Y Y Y

Name of fourth owner or trustee

Signature

Date D D M M Y Y Y Y

Section B – Adviser firm details and Declaration

This section should be completed only by those individuals within the firm authorised to sign on behalf of the firm.

Adviser firm details

Adviser firm name

Adviser Firm Reference Number (FRN)

Adviser firm address

Postcode

Do you intend to submit switch requests online? Yes ☐ No ☐

Adviser firm declaration

1. I/We understand that Prudential (or its agents) will have no liability for any loss or otherwise incurred by any owner, trustee or us resulting either directly or indirectly from Prudential's acceptance of a Fund Switch request from this firm in accordance with this authority.
2. I/We agree that when submitting a Fund Switch request, I/we will be responsible for ensuring that all of those giving authority in section A are aware of any changes to the important information contained in the Fund Switching form (PRUF0534) as at the date of this authority.

Authorised signatories

Name

Position

Signature

Date D D M M Y Y Y Y

Name

Position

Signature

Date D D M M Y Y Y Y

Name

Position

Signature

Date D D M M Y Y Y Y

pru.co.uk

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