

# Discretionary Asset Manager nomination form

## Notes to help you

This form should only be used for nominating a Discretionary Asset Manager to manage fund assets for both the life assured and capital redemption options of the following bonds (hereafter called "the bond") issued by Prudential International Assurance plc:

- Prudential International Investment Portfolio
- Portfolio Account
- Prudence Portfolio Bond (post 31 January 2006)

If you're nominating more than one Discretionary Asset Manager you should complete a separate form for each nomination.

Before completing this form, the applicant must read the Privacy Notice in section 4 page 3. The Privacy Notice explains how we use your personal data and why we're collecting it.

The personal information you provide is being collected for the purpose of entering into a contract and to discharge our legal responsibilities. Failure to provide the requested information will result in the application not being processed.

If you have any queries while completing this form please speak to your financial adviser or call **0808 234 2200** and we will be happy to help. We might record your call for training and quality purposes.

Is this nomination in connection with (please tick as applicable):

- A new bond
- An additional investment into an existing bond
- A switch of existing holdings

Please use **black** ink and write in CAPITAL LETTERS or tick as appropriate. Any corrections must be initialled. Please don't use correction fluid as this will invalidate your Discretionary Asset Manager nomination.

All assets will be selected from the Permitted Investments as agreed between Prudential International and your chosen Discretionary Asset Manager.

If you wish to amend your investment objectives or restrictions at any time please contact your chosen Discretionary Asset Manager immediately.

All applicants and/or policy owners must complete and sign this form. If the bond is being held under trust, all trustees must sign this form. Where the applicant is a company, at least two directors or one director and the company secretary must complete and sign the form.

**Note for advisers:** It's important your client signs the customer agreement in section 5.

Please send the completed form to **Prudential International, Stirling FK9 4UE**.

## Section 1 – Applicant/Policyholder details

### Part A – First (or only) applicant/policyholder

Surname

Full forenames

Mr  Mrs  Miss  Ms  Other

### Part B – Second applicant/policyholder

Surname

Full forenames

Mr  Mrs  Miss  Ms  Other

## Section 1 – Applicant/Policyholder details – continued

### Part A – First (or only) applicant/policyholder

Permanent address (if the applicant is a company please state the registered office address):

Postcode

Bond number:

*(If you're applying for a new bond, this will be completed by Prudential International)*

Do you authorise your financial adviser to provide investment instructions to your Discretionary Asset Manager on your behalf?

Yes  No

### Part B – Second applicant/policyholder

Permanent address (if the applicant is a company please state the registered office address):

Postcode

## Section 2 – Details of your nominated Discretionary Asset Manager

Name of Discretionary Asset Manager

If you're applying for a new bond, please state the percentage of the invested amount, after allocation and charges, that you wish to place with the Discretionary Asset Manager

 %

If you're nominating the Discretionary Asset Manager for an existing bond, please state either the percentage of the bond value or the monetary amount that you wish to place with the Discretionary Asset Manager

 % of bond value OR monetary amount and currency 

Discretionary Asset Manager annual management charge

 %

*Please insert the Annual Management Charge you have agreed with your nominated Discretionary Asset Manager.*

Please note that this is the charge made by your Discretionary Asset Manager for their services and is applied to your bond as described in your Contract Conditions (amended, if required). Please contact your Discretionary Asset Manager for more information.

## Section 3 – Details of your Financial Adviser

Adviser name

Address

Postcode

Tel number

Email address

## Section 4 – How we use your personal information

### PRIVACY NOTICE

We, Prudential International Assurance Plc (PIA), take the privacy and protection of your personal information seriously. PIA will operate as the data controller in respect of the data we collect about you.

So we've set out below information about our processing of your personal information, what rights you have, and how you can get in touch if you want to know more.

When we say personal information, we mean information about you, such as your name, date of birth and contact details. We collect personal information from you that is necessary for us to either provide you with the product or service you've requested or to comply with statutory or contractual requirements. Unfortunately, if you don't provide all of the information we require this may mean we are unable to provide our products and services to you.

### Part A – How we use your personal information and why

We, M&G plc\* and our Business Partners\*\*, will use the personal information you provide to us, together with other information, for the following purposes:

- the administration of our products and services, including to enable us to perform our obligations to you and to provide any relevant services as discussed with you prior to any purchase of a product or service;
- complying with any regulatory or other legal requirements;
- carrying out checks using agencies such as credit reference agencies, tracing companies, or publicly available information (See Part B for more);
- the provision of customer services – like to reply to a question, or tell you that something's changing;
- automated decision-making or profiling (see Part C for more);
- keeping your information on record and carrying out other internal business administration.

Some of the purposes above are necessary to allow us to perform our contractual obligations to you and to enable us to comply with applicable laws and regulation. We may also rely on legitimate interests or other legal basis in using and sharing your personal information for the purposes described above to improve our products and services. This allows us to explore ways to develop our business and to gain insights into how our products and services are used.

In addition, we M&G plc and our Marketing Partners\*\*\*, may use the personal information you provide to us, together with other information, to send you direct marketing offers by electronic or non-electronic means including by post, where you have consented for us to do so. Please see Part G for further details.

### Who we share your personal information with and why

We'll share your personal information within M&G plc and with our Business Partners, for any of the purposes set out in Part A.

If you have a joint policy or investment, the other person may receive your personal information too. If appropriate, we may also pass on your personal information to financial crime prevention agencies, any legal, regulatory or government bodies.

As we, M&G plc, and some of our Business Partners are global companies, we might need to send your personal information to countries that have different data protection laws to the European Economic Area. These transfers will only be to countries in respect of which the European Commission has issued a data protection 'adequacy' decision, or to other countries, such as India or the United States of America, but only where appropriate safeguards have been put in place first. In more limited circumstances, we may also need to rely on a derogation under applicable privacy laws.

If you want to know more about these safeguards – like our use of the European Commission's Model Clauses which govern the transfer of information outside of the European Economic Area – further information is available on request.

### We keep your personal information for a set amount of time

Your personal information will be stored either for as long as you (or your joint policyholder) are our customer, or longer if required by law or as is otherwise necessary, it'll always be in line with our data retention policy.

### Part B – Reference checks

For certain products, we may use approved credit reference agencies, tracing companies, financial crime prevention agencies, or publicly available information, to help us to check your identity, as well as to prevent fraud and money laundering; this may include checks on your current or previous addresses. Results of these may be recorded for future reference.

These checks may also be carried out for a joint policy holder or person(s) that you provide personal information on. Should we ever lose contact with you, we may use these agencies to verify your address to help us get back in touch.

Any transfer of your personal information will always be done securely.

### Part C – We may use your personal information to make automated decisions or profile you

We, M&G plc, our Business Partners, and our Marketing Partners may use your personal information to make automated decisions affecting you or to conduct other profiling (for example, marketing profiling).

To the extent that we conduct such automated decision making activity, we'll provide you with further information at the appropriate time.

## Section 4 – How we use your personal information – continued

### Part D – Use of your sensitive personal information

For certain products or services, we'll need to process your sensitive personal information, such as information relating to health, genetics, biometric identifiers and sexual orientation. To the extent that we need your explicit consent to process this kind of personal information in the manner described in Parts A, B, and C, we will only process such data as provided by your consent.

### Part E – You're in control

When it comes to how we use your personal information, you've got the right to:

- request a copy of your personal information for free (we may charge you for this if the request is manifestly unfounded or excessive). We shall respond as soon as reasonably possible and at the latest within 30 calendar days from the date of your request;
- in certain circumstances request that we move your personal information to another organisation if you want us to;
- request that we correct anything that's wrong, or complete any incomplete personal information;
- ask us to delete your personal information if it is no longer needed for the purposes set out in Part A or if there is no other legal basis for the processing;
- limit how we use your personal information or withdraw your consents you have given for the processing of your personal information (including consents to automated decision making);
- object to us using your personal information for direct marketing (including related profiling) or other processing based on legitimate interests;
- complain to a data protection authority or another independent regulator about how we're using it.

If you want to do any of these things, or would like an explanation as regards these rights, we've explained how you can get in touch in the '**Contact Us**' section.

If you do need to speak to us, it'll be useful to have to hand that the data controller of your personal information is PIA. PIA has also appointed a Data Protection Officer who can be reached at the details shown in the '**Contact Us**' section of this document.

We may monitor or record calls or any other communication we have with you. This will be for training, for security, or to help us check for quality.

### Part F – Acting on someone else's behalf?

If you give us personal information about another person (or persons), we'll take that to mean they have appointed and authorised you to act on their behalf. This includes providing consent to:

- our processing of their personal information and sensitive personal information (as we've explained in Parts A, B, C, and D above);
- you getting any data privacy notices on their behalf.

If for any reason you are concerned as to whether you are permitted to provide us with the other person's information, please contact us on the phone number provided in the '**Contact Us**' section before sending us anything.

### Part G – Direct marketing

From time to time, we, M&G plc, our Business Partners and our Marketing Partners may like to contact you by electronic or non-electronic means with details about products, services and any special offers. Please note that any consent you give will not apply to M&G Investments Group and Prudential UK as they operate their own customer databases.

And if you change your mind, and/or you would like to opt-out of receiving direct marketing, it's easy to let us know. Just contact us at the details noted in the '**Contact Us**' section.

### Contact Us

If you want to exercise your rights in Part E or if you require any other information about any other part of this notice, you can contact us in a number of different ways.

Write to us at: Data Protection Officer  
Prudential International  
Montague House  
Adelaide Road  
Dublin 2

Call us on: +353 1 483 0500

- \* M&G plc means any connected companies of PIA (including [The Prudential Assurance Company Limited, Prudential Distribution Limited, Prudential Life Time Mortgages Limited, Prudential Pensions Limited, and Prudential Financial Planning Limited, PGDS (UK ONE) Limited, Prudential Global Services Private Limited, M&G Investments Group and Prudential Corporate Pensions Trustee Limited]).
- \*\* Business Partners means our service providers, accountants, auditors, IT service and platform providers, intermediaries, reinsurers, retrocessionaires, investment managers, agents, pension trustees (and other stakeholders), scheme advisors, introducers, selected third party financial and insurance product providers and our legal advisers.
- \*\*\* Marketing Partners means our service providers, intermediaries, pension trustees (and other stakeholders), scheme advisors, introducers and selected third party financial and insurance product providers.

## Section 5 – Customer agreement

I/We confirm that the above information is correct and will notify Prudential International of any changes to my/our personal details as set out in section 1.

I/We nominate the above named person or entity as Discretionary Asset Manager for the fund to which my/our bond is to be linked, subject to the terms and conditions set out below.

I/We understand that Prudential International will not be responsible for any loss or liability caused to the fund resulting from advice given by or negligence of the above named Discretionary Asset Manager or for the investment return produced by the fund.

I/We acknowledge that Prudential International will not be liable or responsible for the performance and/or any loss (including insolvency or liquidation of the Discretionary Asset Manager) caused to my/our bond as a consequence of the acts or omissions of the Discretionary Asset Manager. I/We also acknowledge that the only obligation on Prudential International on partial or total surrender of the bond is to pay a sum of money equivalent to the sum actually received from the Discretionary Asset Manager (less any accrued charges due) and no more.

Name of first or only applicant/policyholder

Signature

Date

D	D	M	M	Y	Y	Y	Y
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I/We acknowledge that Prudential International has not given nor will give me/us investment advice in relation to the fund. I am/We are aware that the Portfolio Account and Prudential International Investment Portfolio Contract Conditions set certain restrictions on the investment of the fund and that Prudential International has the right to require that the Discretionary Asset Manager disinvests from certain investments in some circumstances\*.

I/We understand that any charges levied by my/our Discretionary Asset Manager will be charged against my/our bond as described in my/our Contract Conditions (amended, if required).

I/We undertake to inform Prudential International immediately if I/we wish to amend or cancel this instruction.

I/We confirm that I/we will immediately inform my/our chosen Discretionary Asset Manager of any amendment to my/our investment objectives or restrictions.

I/We acknowledge that Prudential International reserves the right in its absolute discretion to reject any recommendation given by the Discretionary Asset Manager.

Name of second applicant/policyholder

Signature

Date

D	D	M	M	Y	Y	Y	Y
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*\*Ask your financial adviser for a copy of the Contract Conditions.*

**To be completed by the Discretionary Asset Manager**

We confirm that we've accepted the client(s) detailed above as investors under our applicable Account Opening Agreement.

We further confirm that the agreed Annual Management Charge is  %

Client reference number:  (to be quoted on all correspondence)

Further information

Signed on behalf of  
  
Name of Discretionary Asset Manager

Signature

Date  

D	D	M	M	Y	Y	Y	Y
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[pru.co.uk](https://pru.co.uk)

The registered office of Prudential International is in Ireland at Montague House, Adelaide Road, Dublin 2. Prudential International is a marketing name of Prudential International Assurance plc, a life assurance company operating from Ireland. Registration No. 209956. Prudential International Assurance plc is authorised and regulated by the Central Bank of Ireland and in the context of its UK regulated activities only, is deemed authorised by the Prudential Regulation Authority and subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details of the Temporary Permissions Regime, which allows EEA-based firms to operate in the UK for a limited period while seeking full authorisation, are available on the Financial Conduct Authority's website. Prudential International is part of the same corporate group as The Prudential Assurance Company Limited. Both The Prudential Assurance Company Limited and Prudential International are direct and indirect subsidiaries respectively of M&G plc, a company incorporated in the United Kingdom. The Prudential Assurance Company Limited is not affiliated in any manner with Prudential Financial, Inc, a company whose principal place of business is in the United States of America or Prudential plc, an international group incorporated in the United Kingdom.